

Electronic Acknowledgement Receipt

EFS ID:	2033304
Application Number:	10027903
International Application Number:	
Confirmation Number:	3219
Title of Invention:	Financial exchange system and method
First Named Inventor/Applicant Name:	Nam Dinh Pham
Customer Number:	37509
Filer:	Anthony Bernard Diepenbrock/Yolette Yturralde-Owen
Filer Authorized By:	Anthony Bernard Diepenbrock
Attorney Docket Number:	367213-001
Receipt Date:	31-JUL-2007
Filing Date:	20-DEC-2001
Time Stamp:	20:56:55
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$395
RAM confirmation Number	3786
Deposit Account	502778

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:
Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	Transmittal.pdf	2953336	no	1
			9034ee74b783256a961ee973b4a2a418b34dc		
Warnings:					
Information:					
2	Request for Continued Examination (RCE)	RCE.pdf	3591741	no	15
			8cc7e086016c0fa482ce2a51d2777be270a5abde4		
Warnings:					
This is not a USPTO supplied RCE SB30 form.					
Information:					
3	Information Disclosure Statement (IDS) Filed	IDS.pdf	1160948	no	5
			976c7980c020ac1817a5c0bd1538b729901939a9		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
4	Information Disclosure Statement (IDS) Filed	A2_5749785.pdf	6049534	no	69
			9e22ac4d9f27de1176e3b6da1c05e030c42363a		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
5	Information Disclosure Statement (IDS) Filed	A3_5794207.pdf	2586198	no	41
			61666e914e57ab051e147b0c2a310539c97439		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
6	Information Disclosure Statement (IDS) Filed	A4_5806048.pdf	660333	no	9
			830c65cc4830c1c8108f18582029a4ab77a8c0736		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
7	Information Disclosure Statement (IDS) Filed	A5_5845266.pdf	1848327	no	31
			8a2b0c0d72ba7d39021821c999038b0ca07b49395		
Warnings:					
Information:					

This is not an USPTO supplied IDS fillable form

8	Information Disclosure Statement (IDS) Filed	A6_5873782.pdf	1576915	no	19
			0b9d561c4cb863b7680319c2b84c1a2a3104854		

Warnings:

Information:

This is not an USPTO supplied IDS fillable form

9	Fee Worksheet (PTO-06)	fee-info.pdf	8176	no	2
			5977405ef4d9e431c3775b6344cd000ac7f8552b		

Warnings:

Information:

Total Files Size (in bytes):	17777508
-------------------------------------	----------

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.